

FEDERAL TRANSIT ADMINISTRATION

#### National Transit Database May 14, 2015

Keith Gates NTD Program Manager



#### NTD Discussion Topics

- Status of MAP-2 I Asset Management Program
- NTD safety reporting, summary & changes
- New NTD on-line reporting system
- NTD service consumed data



#### **ASSET MANAGEMENT**





## Transit Asset Management (TAM) MAP-21 Overview Requirements

FTA Establishes SGR performance Recipients Recipients and measures; FTA Defines FTA provides report asset subrecipients Recipients set State of Good inventories and technical develop TAM SGR targets Repair (SGR) condition assistance Plans based on the assessments measures

#### **TAM Resources**

#### Tools

- TERM Lite (2013)
- TCRP's Transit Asset Prioritization Tool (2014)

#### Training

NTI's Introduction to Transit Asset Management Course (ongoing)

#### Guides and Reports

- Transit Asset Management Guide (2012)
- Transit Asset Inventory Guide (2012)



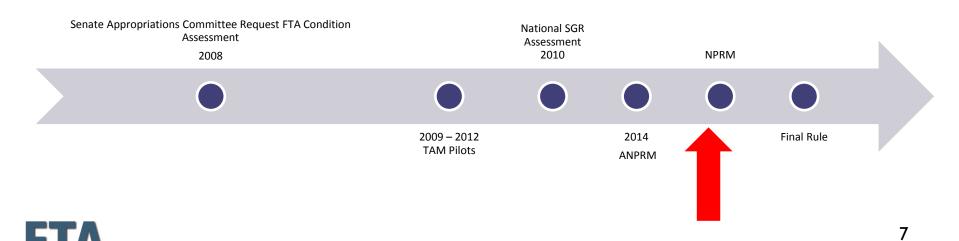
#### FTA Outreach

- State of Good Repair Pilots (2011)
- Online Dialogue (2012)
- Advanced Notice of Proposed Rulemaking (2013)
- TAM Newsletter (2014)
- State of Good Repair/TAM Roundtable (2015)
  - June 3<sup>rd</sup>, by invitation only
- TAM Guide for small & rural operators (2016)



#### Next Steps

- Publish National TAM System NPRM
- Open NPRM Docket for comments



#### SAFETY





### NTD Safety & Security

- 2015 Safety data still reported in the old NTD
- Commuter Rail only reports security incidents (FRA regulates their safety)
- Changes starting with 2016 reporting
  - Fed Register notice of January 28, 2015
  - "Incidents" now called "Events"
  - Report events when on transit-owned property (no longer when "related to revenue service")
  - Latitude and longitude of major events



### Monthly Safety Reporting

Must Report	Not Required to Report
<ul> <li>Recipients/beneficiaries of Urbanized Area Formula (5307) program funds</li> </ul>	<ul> <li>Agencies designated as Reduced Reporters (Small Systems, Rural, &amp; Tribal) who only need to provide an</li> </ul>
<ul> <li>All agencies designated as "Full Reporters"</li> <li>Public and private providers who voluntarily provide full reports</li> </ul>	<ul> <li>Agencies that do not operate service (Building or Planning)</li> <li>Agencies that are severely impacted by natural disaster (upon request)</li> </ul>



#### When is Data Reported

- S&S-I0 Safety and Security Setup form
- S&S-20 Safety and Security CEO Certification form
- S&S-30 Security Configuration form
  - Due by end of February
- S&S-40 Major Event Report form
  - Due 30 days of the date of the event
- S&S-50 Non-Major Monthly Summary Report form
  - Due at the end of the following month



#### What is Reported

Derailment (mainline or yard)

Collision

Fire

Hazardous material spill

Acts of God (nature)

Other Safety Occurrences not Otherwise Classified (OSONOC)

#### System security:

 Suspicious package, bomb threat, bombing, CBR, arson, sabotage, cyber, burglary, vandalism, hijacking, other

#### Personal Security:

- Homicide, suicide, attempted suicide, assault, robbery, rape, motor vehicle theft, larceny
- Events occurring on transit right-of-way, in a transit revenue facility, in a transit maintenance facility, or involving a transit revenue vehicle
- Excludes occupational safety events occurring in administrative buildings



#### Major Event Reports

- Fatality (includes suicides)
- One or more injuries (people transported for medical attention)
- Evacuation of a transit facility or vehicle due to potentially unsafe conditions or evacuation onto a rail right-of-way
- Property damage equal to or exceeding \$25,000

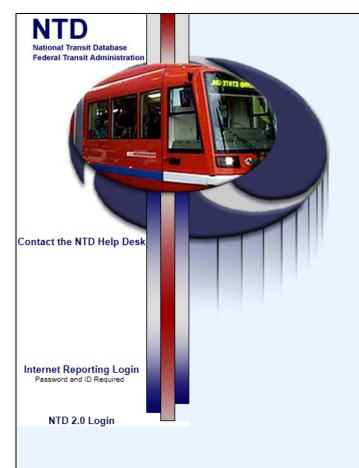


#### **Major Collision Events**

- Involving a revenue transit vehicle where either vehicle requires towing
- Rail transit vehicle collisions occurring at grade crossings
- Rail transit vehicle collisions with an individual
- Rail transit vehicle collisions with any other rail transit vehicle
- All mainline or yard derailments including non-revenue vehicles



#### NTD REPORTING



#### Updated Contact Information for Validation Support Staff

- What is the NTD?
- How to Report/Get an ID 🔌

#### **Reporting Manuals**

- 2014 Policy Manual and User Guides 🔌
  - Urban Reporting 🔌
  - Monthly Reporting &
  - Safety and Security Reporting 3
    - Rural Reporting 🔌
    - Sampling Manual 🔌
  - Small Systems Waiver Manual 🔌

#### **Data, Publications and Reference Materials**

- NTD Glossary 🔌
- NTD Reference Materials 3
  - NTD Transit Profiles 3
  - Access NTD Data 🔌

#### NTD Resources

- FTA / NTD Presentations, Announcements and Updates
  - NTD Feedback 🔌
  - Seminars and Training 🄞
- Transit Agency Listing by Region and Other External Links 🔌
  - Asset Inventory Module 🔌
  - Instructions for Registering User Managers 3

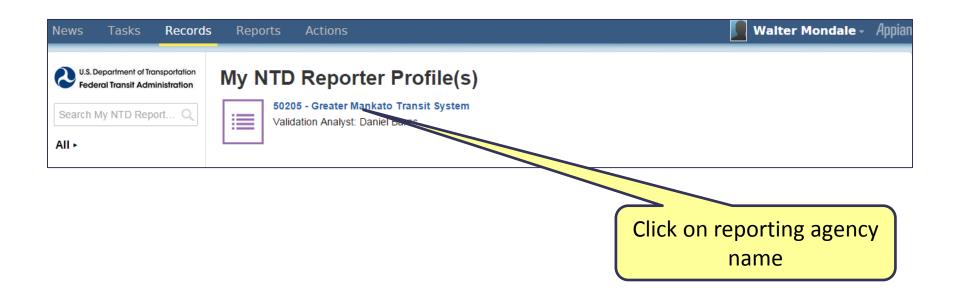


#### Local System User Manager (LSUM)

- LSUM at each reporting agency approves (certifies) new users of FTA systems
  - NTD 2.0
  - TRAMS (updated TEAM)
- FTA requires each agency to identify a LSUM to FTA for "authentication"
  - TEAM users upload designation letter in TEAM
  - Non-TEAM users send letter to FTA NTD project manager in Washington DC



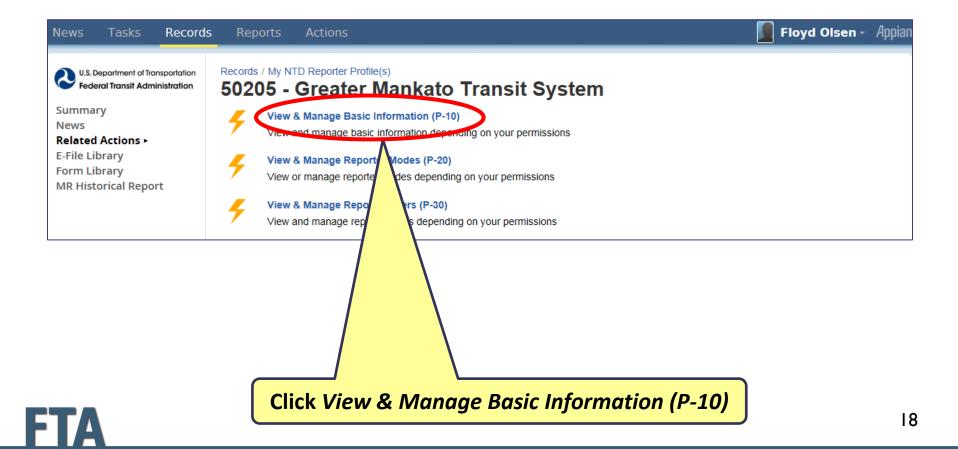
### My NTD Reporter Profile(s)



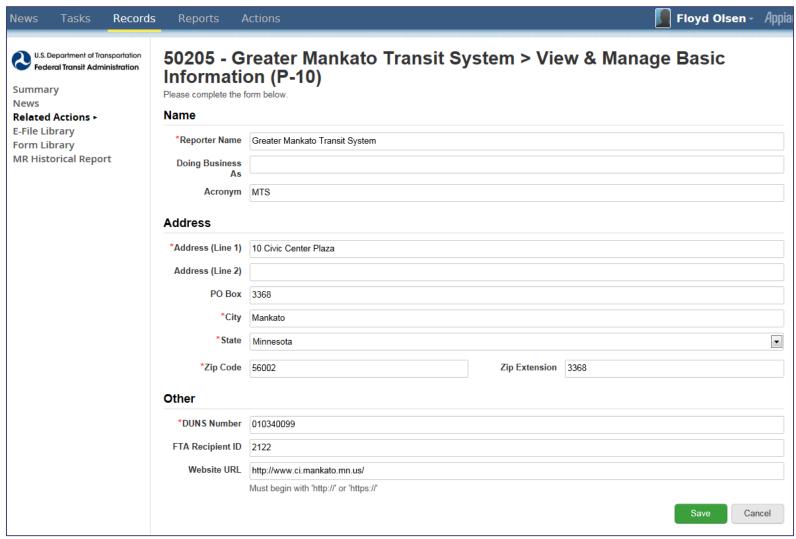


#### Profile Forms Page

Click View & Manage Basic Information (P-10)

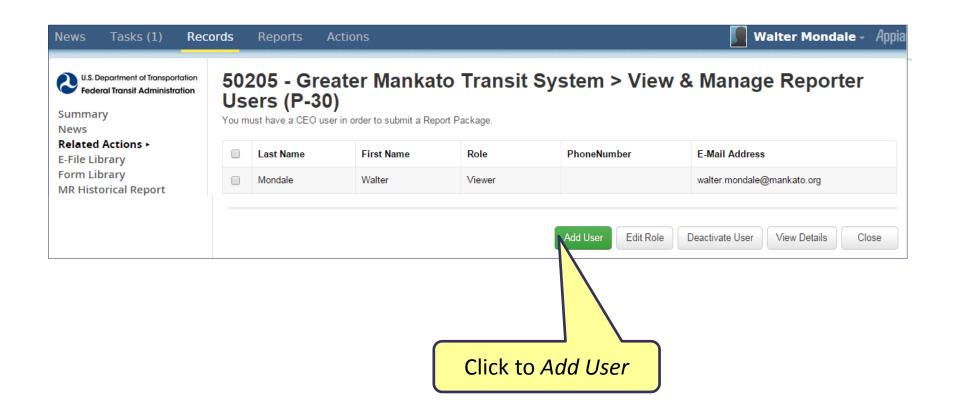


### Basic Information (P-10)





### View & Manage Users (P-30)



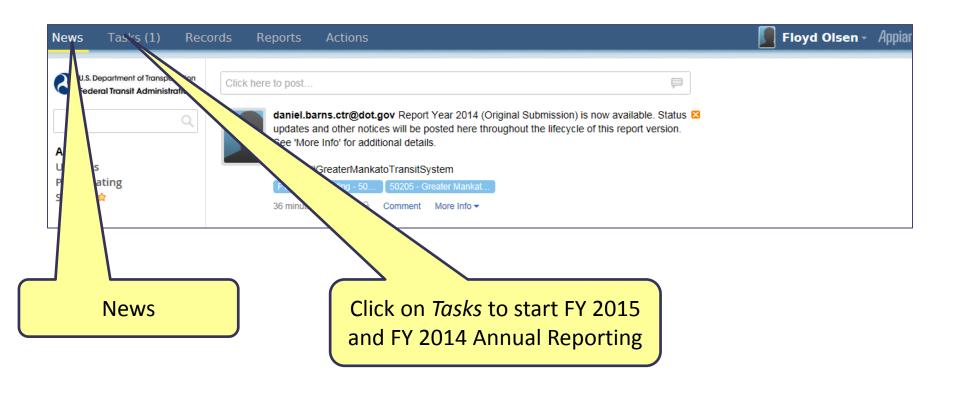


#### What is a Kickoff?

- Confirmation of current "profile" information at beginning of fiscal year
- Actions
  - Confirm reporter contact information is accurate.
  - Confirm mode information is accurate.
  - Confirm declared reporter type for previous FY is still accurate (e.g., small systems waiver)
  - Declare reporter type for new fiscal year

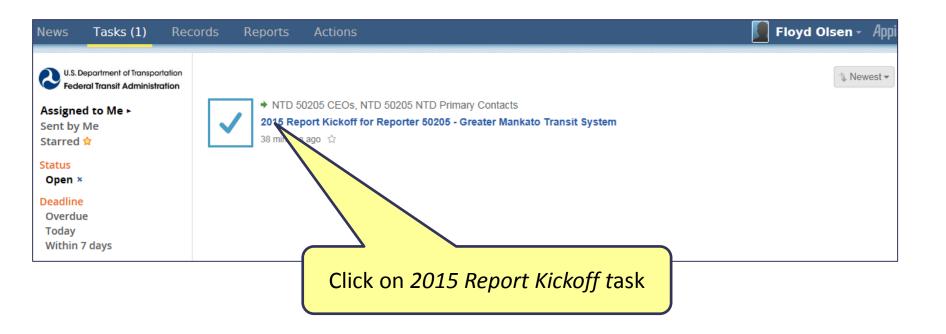


#### Kickoff Starts on News Page



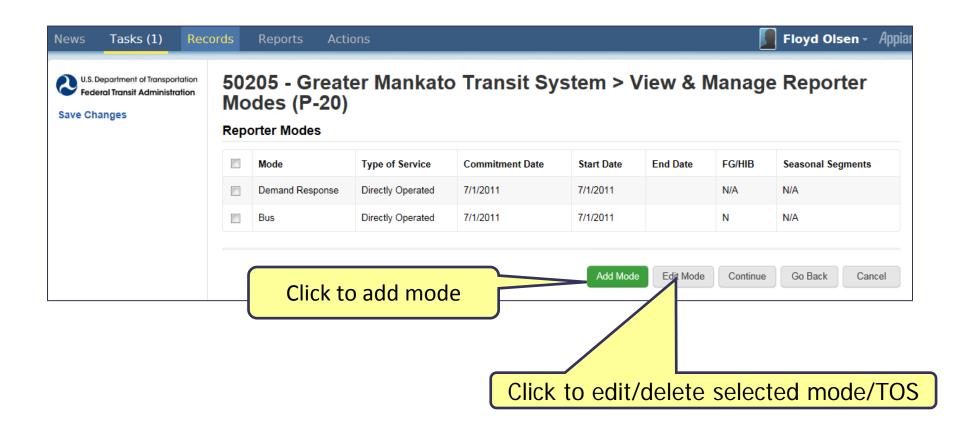


#### How to Start Report Kickoff





#### Manage Modes



Add, edit, or end mode/TOS as needed

#### Previous Reporter Type Confirmation



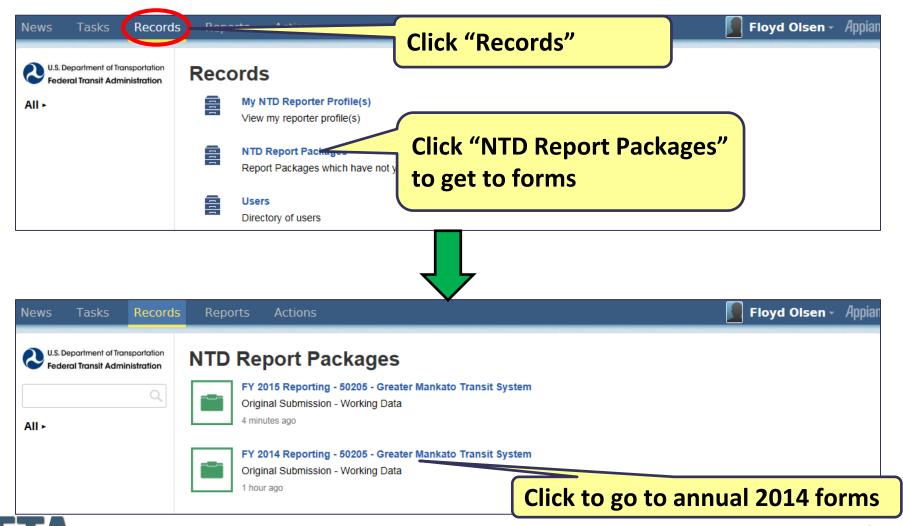
- Reporter type determines your required forms
- Click yes to go through questionnaire
  - Questions appear
  - Strongly suggested for first-time NTD 2.0 users even if they are confident about their type

#### Reporter Type Questionnaire

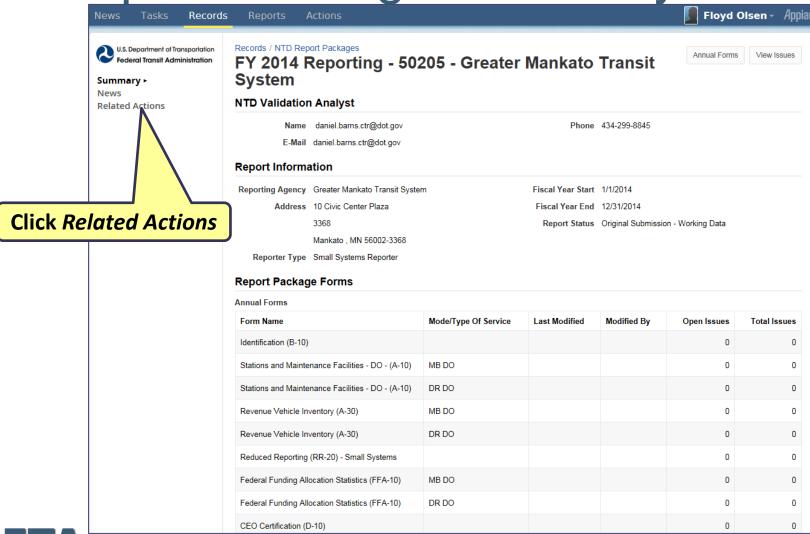
- 5307 beneficiary?
- Reporting under another NTD ID?
- Operating public transit service?
- Building new modes?
- Fixed guideway or high intensity bus?
- Operating less than 31 VOMS?
- Report with SSW?



#### Getting to "Forms" Page



Report Package Summary Screen

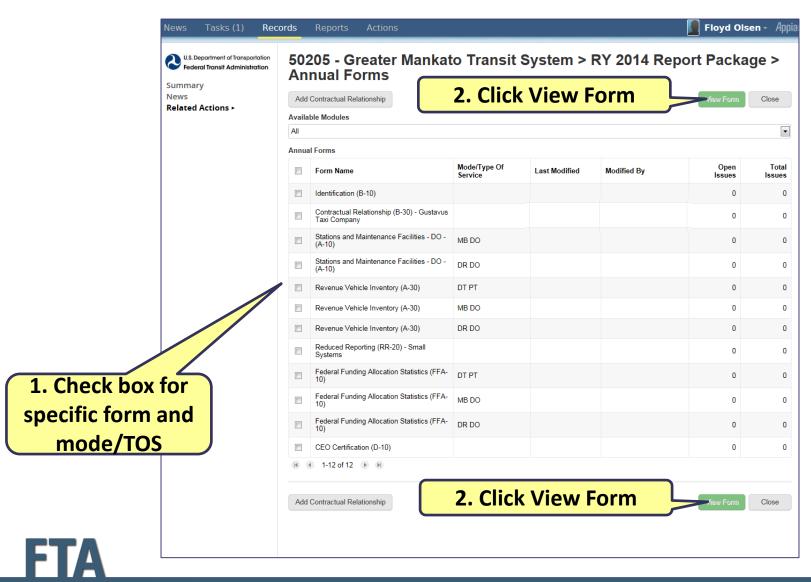


#### Report Packages Screen





#### Individual Annual Forms by Mode/TOS



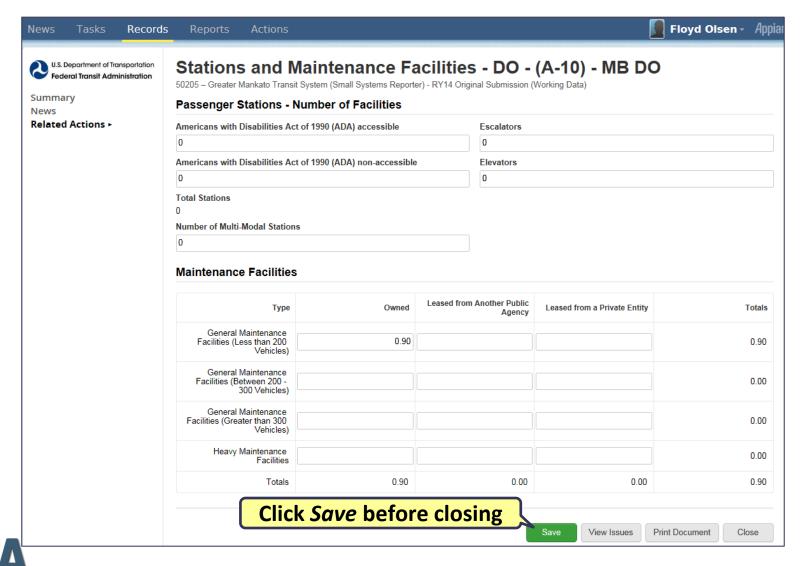
#### Identification Form (B-10)

- Broken into sections
  - Organizational type
  - Demographic information
  - Filing a separate NTD report (seller)

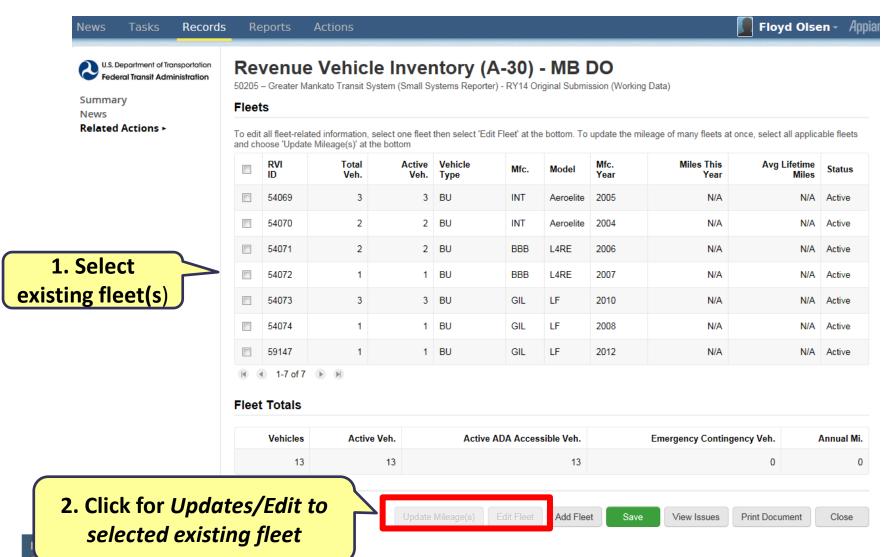




### Stations Maintenance Facilities (A-10)



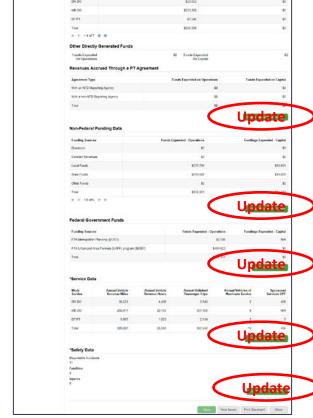
### Revenue Vehicle Inventory (A-30)



### Reduced Reporting (RR-20)

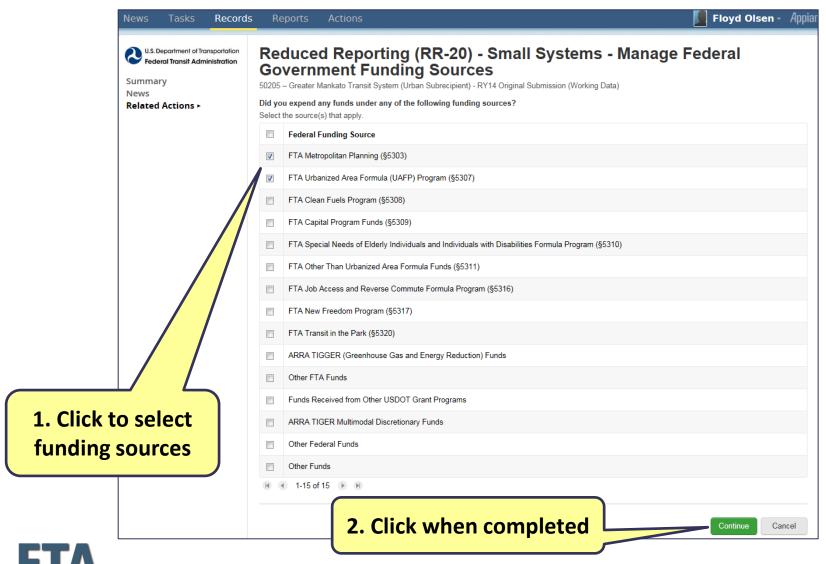
- Broken into sections
  - Financial Information
  - Non-Federal Funding Data
  - Federal Government Funds
  - Service Data
  - Safety Data

Click update icons update sections

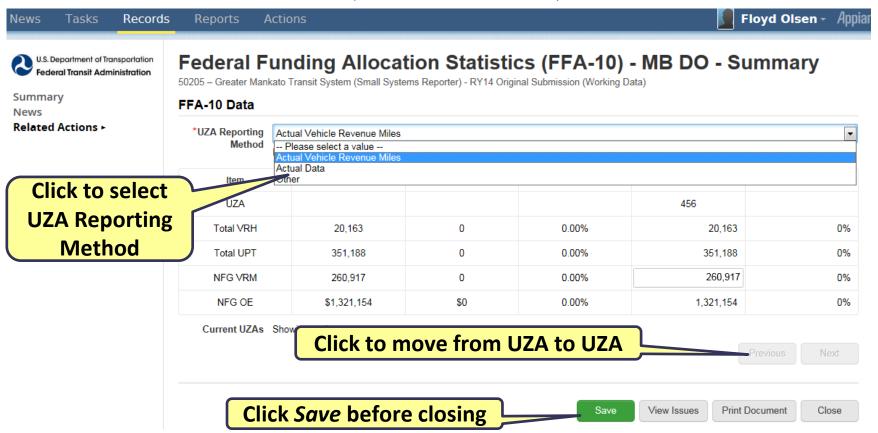




### Customized Federal Funds Reporting



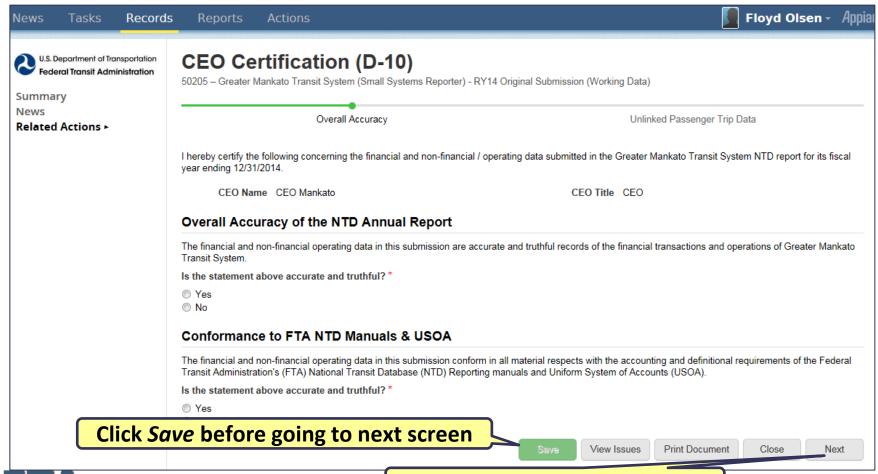
# Federal Funding Allocation Statistics (FFA-10)





## CEO Certification (D-10)

Completed in a sequence of 3 screens



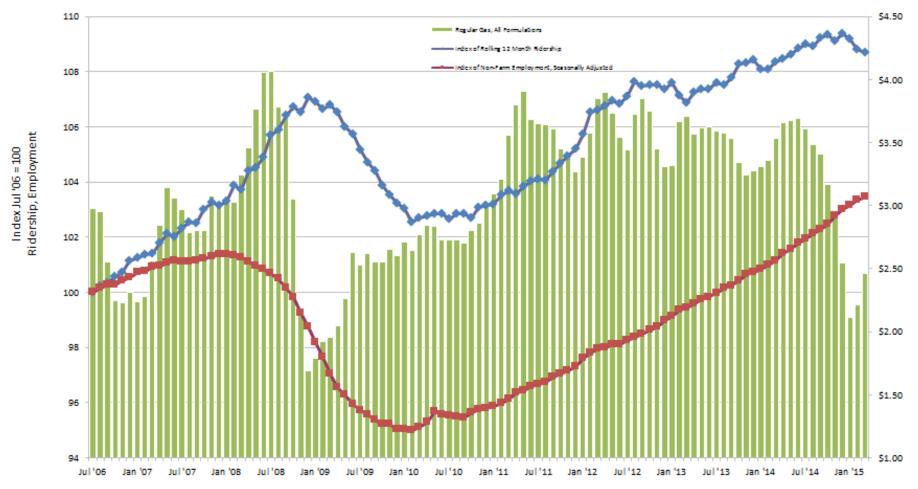
## **Training**

- NTD Website training page:
   <a href="http://www.ntdprogram.gov/ntdprogram/seminars.htm">http://www.ntdprogram.gov/ntdprogram/seminars.htm</a>
- National Transit Institute, NTD courses:
   <a href="http://www.ntionline.com/courses/courseinfo.php?id=7">http://www.ntionline.com/courses/courseinfo.php?id=7</a>
- NTD Website presentations page: <u>http://www.ntdprogram.gov/ntdprogram/announcements.htm</u>



## NTD Service Consumed

#### Transit Ridership vs. Employment





## Service Consumed Data

- Reporting service consumed to the NTD
  - Unlinked Passenger Trips (UPT)
  - Passenger Miles Traveled (PMT)
- Reduced Requirements for Small Systems
  - No PMT





#### Sources of Data

- If available, you must report data based on a 100% count, if not, you must sample
- To measure UPT and trip length you may use
  - manual passenger counts
  - ticket information
  - registering fare boxes
  - automatic passenger counters



# Stories from the Ops Center

#### Rochester

- Experienced a 28.8% increase in UPTs for their MB/DO mode between RY 2011 and RY 2012 (from 17.5M to 22.5M)
- They were counting UPTs using passenger fares before switching to APCs in 2012

#### San Diego

- Old estimation method used the number of one-way tickets sold, which dropped significantly when day passes replaced transfers
- installed APCs on their light rail system in FY 14 and UPT increased by 10 million



## 100% UPT Counts

- If you have 100% counts you must report them
  - all passengers counted each time they board an in-service transit vehicle.
- 100% count systems may miss up to 2% of total passengers
  - For personnel problems or equipment failures
  - May adjust data to account for the missing trips
- If the missing data exceeds 2% of total trips
  - Follow sampling rules



## 100% PMT Counts

- If you have 100% counts you must report them
  - all passengers counted each time they board and alight an in-service transit vehicle.

 Generally only available for rail modes with a distance-based fare system.

2% missed-data rule applies



# Sampling Requirements

- FTA requirements are:
  - Minimum confidence of 95%; and
  - Minimum precision level of  $\pm 10$  percent
- The required precision level (±10 percent) applies to the annual total data
  - For all mode/type of service combinations
  - Precision levels of data for average day schedules, will be larger if minimum sample size for total is used



# Sampling Cycle for PMT

Exhibit 31 - Sampling Cycle Requirements											
TOS	Primary UZA Population	DO VOMS All Modes	Mandatory Year	100% Count of UPT Required?							
DO	≥ 500,000	≥ 100	Annually	No							
DO	≥ 500,000	< 100	Triennially	Yes							
DO	50,000 - 499,999	Any number	Triennially	Yes							
PT	≥ 50,000	Any number	Triennially	Yes							

 Estimate PMT data by multiplying the average trip length from the most recent mandatory year by the UPT for the current year



## Sampling Documentation

- You must retain sampling documentation in your files
  - A description of the estimation method that specifies the parameters used
  - Signed review by a qualified statistician
  - A summary of the statistician's education and experience
- FTA Sampling Manual
  - Provide ready-to-use plans for new systems
  - Provides template sampling plans
  - Provides guidance for statistician-consultants



## **APC** Documentation

- To use APC data you must get prior FTA approval
  - For either sampling or a 100% count
- FTA must approve your agency APC plans
  - Validation of the APC data for UPT and PM data against a separate manual sample covering a full year
  - APC maintenance plan for subsequent years



## **Annual APC Calibration**

- Compare APC UPT/PMT to data collected manually
  - Baseline of at least 100 bus runs using ride checkers
  - Runs do not need to be randomly distributed
  - Separate samples of at least 100 trips for each mode
- Examine the statistical variance between the two data sets
- Make appropriate adjustments as needed
- Submit results to NTD annually



														Manual	APC Ave		
							Total N	/lanual	Manual	Total	I APC	APC	Ave Pass	Pass Trip			
			Total Trips					Cou	ınts	Load	Cot	unts	Load	Trip	Length	Manual PMT	APC PMT
				2				48	45		44	44				647.661	614.1
Bus	Date	Route	Block	Trip	Stop ID	Stop Ad	ldress	Ons	Offs		Ons	Offs		13.49294	13.95682	647.661303	614.1
9052	12/2/2013	811	2060	1822	198119	700 E @ 11796 S		9	0	9	10		10				
					198127	12300 S @ 159 E		0	1	8		1	9	1.521413		13.6927147	
				801264	ASHTON BLV	3101 N	5	2	11	5	2	12	8.595581		68.7646497		
				020		@ 2503 W	0	0	11			12	0.424778		4.67256152		
						2302 W	0	0	11			12	0.730322		8.03354176		
	-						099 N	0	0	11			12	1.06065		11.6671539	
							359 N	0	2	9			12	0.341558		3.75714119	
						orting nation Methodology	55 W	0	1	8			12	0.491263		4.4213706	
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			N	טו	HOP	nation Methodology November 2013		0	0	8		1	10	0.548874		4.3909917	
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								0	0	8			10	0.637385		5.09907701	
								0	1	7		1	9	0.415621		3.32496725	
								1	3	5	1	3	7	0.568526		3.97968343	
						ATRIA		1	0	6	1		8	0.141686		0.70843155	
								0	0	6			8	0.265232		1.59139237	
								0	0	6			8	0.246079		1.47647202	
					4.01	r Regional Transit Authority Worcester, Massachusetts		0	0	6			8	0.212523		1.27514081	
					Worcester	Worcester, Massass		0	0	6			8	0.132989		0.79793574	
								0	1	5		1	7	0.116202		0.69721393	
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#### National Transit Database Offices

#### NTD Operations Center

- Charlottesville, Virginia
- Monday to Friday: 0800 –1900 Eastern
- **(888) 252-0936**
- NTDHelp@dot.gov

**NTD Help Desk** 

#### NTD Program Office

- Washington, DC
- Keith Gates, Program Manager
- **(202) 366-1794**
- keith.gates@dot.gov

Me, email works best



# Questions?



# Don't Forget the Survey!

Please remember to complete the survey for this session by visiting the Guidebook App or

http://goo.gl/forms/hhOzdnpmKK

Choose "Thurs., 9:30 a.m. - Overview of NTD Reporting" from the dropdown list



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